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PATENT

Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit:

Maximilian A. Biberger et al.) Examiner:

Serial No.: 09/704,641) **TRANSMITTAL LETTER**

Filed: November 1, 2000) 260 Sheridan Avenue, Suite 420
Palo Alto, California 94306
(650)833-0160

For: **METHOD AND APPARATUS FOR
SUPERCritical PROCESSING
OF A WORKPIECE**)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2001

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents Washington D.C. 20231

HAYERSTOCK & OWENS LLP
Date: 4/10/01 By: Colleen O'Brien



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Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

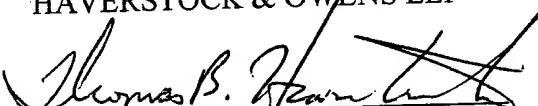
In re Application of: _____) Group Art Unit:
Maximilian A. Biberger et al.) _____) Examiner:
Serial No.: 09/704,641) _____) **TRANSMITTAL LETTER**
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OF A WORKPIECE) _____)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir: Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449 (including copies of the references contained thereon), and Certification under 37 C.F.R. §1.97(e) for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

By: 
Thomas B. Haverstock
Reg. No.: 32,571
Attorneys for Applicants

Dated: 4-23-01

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

4/24/01 *Cynthia L. Gray*



Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit:
Maximilian A. Biberger, et al.) Examiner:
Serial No.: 09/704,641) **SUPPLEMENTAL INFORMATION**
Filed: November 1, 2000) **DISCLOSURE STATEMENT**
For: **METHOD AND APPARATUS FOR**) 260 Sheridan Avenue, Suite 420
SUPERCRITICAL PROCESSING) Palo Alto, California 94306
OF A WORKPIECE) (650)833-0160

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

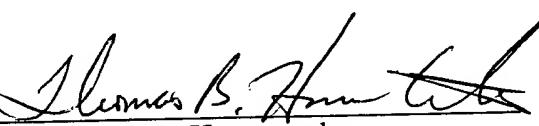
- U.S. Patent No. 5,866,005;
- European Publication No. 0 836 895 A2;
- PCT Publication No. WO 99/49998; and
- “A Comparison of Chilled DI Water/Ozone and Co₂-Based Supercritical Fluids as Replacements for Photoresist-Stripping Solvents” by J.B. Rubin et al., Physical Organic Chemistry Group (CST-12) Chemical Science & Technology Division Los Alamos National Laboratory, Los Alamos, New Mexico.

PATENT
Attorney Docket No.: SSI-00700

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

4/24/01 Cynthia L. Gray
CERTIFICATE OF MAILING (37 CFR § 1.8(f))

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hereby referred to as being attached or enclosed) is being
deposited with the U.S. Postal Service on the date
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mail in an envelope addressed to the: Assistant
Commissioner for Patents, Washington D.C. 20231

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HAVERSTOCK & OWENS LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Maximilian A. Biberger, et al.

Serial No.: 09/704,641

Filed: November 1, 2000

For: **METHOD AND APPARATUS FOR
SUPERCritical PROCESSING
OF A WORKPIECE**



) Group Art Unit:
Examiner:

) **CERTIFICATION FOR
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420
Palo Alto, California 94306
(650) 833-0160

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached seven (4) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on February 1, 2001. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).



PATENT
Attorney Docket No.: SSI-00700

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

Dated: 4-23-01

DATE OF MAILING (37 CFR § 1.8(a)

I hereby certify that this paper (along with any hereto attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

4/24/01 - Cynthia L. Gray

COPY



Serial No.: 09/704,641 H&O File No.: 551-00700 By: TBH/JR
In the Matter of The Application of: Maximilian A. Biburger et al.
Date Mailed: 4/24/01 Due Date: 5/11/01

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

Design Patent Application _____ Pages Form PTO-1533 (Not. of Missing Parts) Transmittal Letter Duplicate
 Utility Patent Application _____ Pages Form PTO-85B (Issue Fee Trans) & dup. Certificate of Mailing
 Prov. Patent Application _____ Pages Form PTO-1050 (Cert. of Correction) Certificate of Express Mailing
 Declaration/Oath Maintenance Fee Transmittal Form
 Power of Attorney _____ Reexamination Request
 Assignment Notice of Appeal
 Form PTO-1594/1595 Affidavit/Declaration
 Small Entity Declaration Copy of Filing Receipt
 Drawings: _____ Sheet(s) Req. for Corr of: _____
 Formal Informal
 Information Disclosure Statement _____
 Form PTO-1449 and Copies of _____
 References Contained Thereon _____
 Information Disclosure Certification _____
 Amendment/Response _____
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 Petition _____

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PATENT
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 10110162
Maximilian A. Biberger et al.) Examiner: MW
Serial No.: 09/704,641) SUPPLEMENTAL INFORMATION
Filed: November 1, 2000) DISCLOSURE STATEMENT
For: **METHOD AND APPARATUS FOR**) 260 Sheridan Avenue, Suite 420
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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,965,025
- U.S. Patent No. 5,888,050
- U.S. Patent No. 5,797,719
- U.S. Patent No. 5,730,874

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HAVERSTOCK & OWENS CLP
4/10/01 By *Cathleen O'Brien*

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Attorney Docket No.: SSI-00700

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Dated: April 10, 2001

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Attorneys for Applicants